

1. Services (as listed in section V of Code)	2. ECSDA proposed sub-sections to ease completion conversion table	3. Complete which tariff sections/captions refer to which service (one caption per row - insert as many rows as required)	4. Reference to tariff brochure - (page or paragraph number)	5. Fees charged to (issuer or its agent, intermediary, end investor, other)	6. Service description (in summary or reference to existing documents)
Account provision & Asset Servicing	Opening and maintenance of securities accounts	Opening of securities issue account Opening of securities issue account with a new corporate agent due to termination of a corporate actions agreement with a previous member Opening of proprietary securities account Opening of pledge securities account Opening of a depo securities account Opening of securities deposit account Opening of collective custody securities account Opening of securities co-ownership account Opening of securities management account	4.1. 4.2. 4.3. 4.4. 4.5. 4.6. 4.7. 4.8. 4.9.	Issuer's corporate agent or intermediary, CSD member	Opening of securities issue account, proprietary securities account, pledge securities account, depo securities account, securities deposit account, collective custody securities account, securities co-ownership account securities management account, closing of securities accounts (on request) Operating Rules of CSD, articles no. 5 and 30-42.
	CSD membership	Entrance fee Membership fee	1.1. 1.2	CSD member	One-time fee for becoming CSD member Annual membership fee for CSD members
	Asset servicing dematerialised securities	Service not charged for separately	N/A		
	Asset servicing physical/immobilised securities				
	Proxy voting	Announcement for attendance by proxy	8.9.	Issuer's corporate agent, CSD member	AGM/EGM agenda announcement, Operating Rules of CSD article no. 115
Withholding tax services	Service not offered	N/A			
Establishing securities in book-entry form	Issuance dematerialised securities	Registration of securities with the CSD Registration of money market instruments	5.2. 5.3.	Issuer's corporate agent, CSD member	Registration of securities issue, Operation Rules of CSD article no. 7
	Redemption/cancellation dematerialised securities	Payment and cancellation of debt securities Cancellation of shares in the CSD	8.3, 8.15, 8.16, 8.17 8.5, 8.6, 8.7, 8.18.	Issuer's corporate agent, CSD member	CSD cancel the securities issued from the accounts of legal owners to the account of finalization (annulment) of the issue in the cases where: 1) the maturity term of securities has expired; 2) the securities are withdrawn; 3) in the case of liquidation of the issuer; 4) in the case of change of the legal form of a joint-stock company, 5) in the case of capital reduction . Operating Rules of CSD, articles no. 26, 93, 116
	Deposit physical securities in book-entry form (immobilisation)	Service not charged for separately			
	Withdrawal physical securities from book-entry system	Service not offered			
	Withdrawal physical securities from book-entry system	Service not offered			
	Opening and maintenance of issue accounts	Opening of securities issue account	4.1.	Issuer's corporate agent, CSD member	Opening of securities issue account, Operating Rules of CSD article no. 7.
	Allocation of ISINs2	CFI code and ISIN number assignment and drafting of decision	5.1.	Issuer's corporate agent, CSD member	Instruction for allocation of the CFI code and the ISIN number and for the mode of securities registration in the Central Securities Depository and Clearing House
Clearing and Settlement (including verification)	Verification (matching)	Service not charged for separately			Verification by electronic SWIFT messages, Operating Rules of CSD
	Settlement netting	Service not charged for separately			Securities settlement on the client level, Operating Rules article no. 59
Securities Lending and Borrowing	Clearing and settlement for OTC transactions DVP	*OTC sale/purchase transactions where settlement of securities is done according to the DVP principle for shares *OTC sale/purchase transactions where settlement of securities is done according to the DVP principle for corporate bonds *OTC sale/purchase transactions where settlement of securities is done according to the DVP principle for securities issued by the Republic of Serbia and the National Bank of Serbia *Settlement of securities according to the DVP principle where trading is performed by the Republic of Serbia or the National Bank of Serbia (REPO, repurchase, standing transactions) *Sale/purchase transactions where settlement of securities is done according to the DVP principle for securities issued by autonomous provinces and local self-government units	6.4. 6.5. 6.6. 6.8. 6.9.	Intermediary, CSD member	Operating Rules of CSD, articles no. 65-74
	Clearing and settlement for OTC transactions FOP	Transfer of securities without payment according to FOP principle (resolutions, decisions, agreements etc.)	6.11.	Intermediary, CSD member	Operating Rules of CSD article no. 48-60.
	Clearing and settlement for Stock Exchange transactions DVP	Sale/purchase transactions concluded on the stock exchange Sale/purchase transactions concluded on the stock exchange as "block transactions" for shares Sale/purchase transactions concluded on the stock exchange as "block transactions" for debt securities	6.1. 6.2. 6.3.	Intermediary, CSD member	Operating Rules of CSD, articles no. 61-64.
	Own account transfers	Transfer of securities to the another depositor (CSD member) upon client's request	7.3. 7.5.	Intermediary, CSD member	
	Other	Other securities transaction	6.12. 6.13. 6.14. 6.15. 7.1, 7.2, 7.6. 8.1. 8.2. 8.10. 8.11. 8.12. 8.13.	Intermediary, CSD member	Operating Rules of CSD
Collateral management	Fail related SLB	Service not offered	N/A		
	Other				
Collateral management	Collateral management related to collateralisation of central bank liquidity	Service not offered	N/A		
	Management of guarantee funds	Service offered	10.1.	CSD member	Operating Rules of CSD, articles no 128 and 132a.

	Other				
Credit provision					
Communication (note: not in Code)	Technical communication set-up and maintenance	Service offered	2.1.-2.6. 3.1, 3.2	CSD member	Service offer by IT Department of the CSD
Other					
Source: Tarrif Rate Rules of Central Securities Depository and Clearing House 10 No. 2-9/14-2 from 15. January 2016.					